

Indestructibility, HOD, and the Ground Axiom ^{*†}

Arthur W. Apter^{‡§}

Department of Mathematics

Baruch College of CUNY

New York, New York 10010 USA

and

The CUNY Graduate Center, Mathematics

365 Fifth Avenue

New York, New York 10016 USA

<http://faculty.baruch.cuny.edu/apter>

awapter@alum.mit.edu

January 18, 2010

(revised July 6, 2010)

Abstract

Let φ_1 stand for the statement $V = \text{HOD}$ and φ_2 stand for the Ground Axiom. Suppose T_i for $i = 1, \dots, 4$ are the theories “ZFC + $\varphi_1 + \varphi_2$ ”, “ZFC + $\neg\varphi_1 + \varphi_2$ ”, “ZFC + $\varphi_1 + \neg\varphi_2$ ”, and “ZFC + $\neg\varphi_1 + \neg\varphi_2$ ” respectively. We show that if κ is indestructibly supercompact and $\lambda > \kappa$ is inaccessible, then for $i = 1, \dots, 4$, $A_i =_{\text{df}} \{\delta < \kappa \mid \delta \text{ is an inaccessible cardinal which is not a limit of inaccessible cardinals and } V_\delta \models T_i\}$ must be unbounded in κ . The large cardinal hypothesis on λ is necessary, as we further demonstrate by constructing via forcing four models in which $A_i = \emptyset$ for $i = 1, \dots, 4$. In each of these models, there is an indestructibly supercompact cardinal κ , and no cardinal $\delta > \kappa$ is inaccessible. We show it is also the case that if κ is indestructibly supercompact, then $V_\kappa \models T_1$, so by reflection, $B_1 =_{\text{df}} \{\delta < \kappa \mid \delta \text{ is an inaccessible limit of inaccessible cardinals and } V_\delta \models T_1\}$ is unbounded in κ . Consequently, it is not possible to construct a model in which κ is indestructibly supercompact and $B_1 = \emptyset$. On the other hand, assuming κ is supercompact and no cardinal $\delta > \kappa$ is inaccessible, we demonstrate that it is possible to construct a model in which κ is indestructibly supercompact and for every inaccessible cardinal $\delta < \kappa$, $V_\delta \models T_1$. It is thus not possible to prove in ZFC that $B_i =_{\text{df}} \{\delta < \kappa \mid \delta \text{ is an inaccessible limit of inaccessible cardinals and } V_\delta \models T_i\}$ for $i = 2, \dots, 4$ is unbounded in κ if κ is indestructibly supercompact.

*2010 Mathematics Subject Classifications: 03E35, 03E55.

†Keywords: Supercompact cardinal, strong cardinal, indestructibility, HOD, the Ground Axiom.

‡The author’s research was partially supported by PSC-CUNY grants.

§The author wishes to thank the referee, for helpful comments and suggestions which have been incorporated into the current version of the paper.

We start with a very brief discussion of some preliminary material. We presume a basic knowledge of large cardinals and forcing. If κ is a cardinal, the partial ordering \mathbb{P} is κ -directed closed if for every directed set D of conditions of size less than κ , there is a condition in \mathbb{P} extending each member of D . When G is V -generic over \mathbb{P} , we abuse notation slightly and take both $V[G]$ and $V^{\mathbb{P}}$ as being the generic extension of V by \mathbb{P} .

We continue with some key definitions. As in [14], the cardinal κ is *indestructibly supercompact* if κ 's supercompactness is preserved after forcing with a κ -directed closed partial ordering. The *Ground Axiom (GA)* is the assertion that the universe of sets V is not a generic extension of any inner model $W \subseteq V$ via some nontrivial (set) partial ordering $\mathbb{P} \in W$. GA was formulated by Hamkins and Reitz and studied by Reitz [15, 16] and Hamkins, Reitz, and Woodin [10]. Although GA is *prima facie* a second order statement, as Reitz has shown in [15, 16], it is actually first-order expressible. In addition, as was shown in [8, 5], if Paul Corazza's *Wholeness Axiom (WA)* (first introduced in [7]) is consistent, then it is consistent with GA. Since Corazza showed in [7] that WA is consistent relative to the existence of an I_3 cardinal and also showed in [7] that WA implies the existence of a cardinal κ which is super- n -huge for every $n \in \omega$, we know that GA is relatively consistent with some fairly large cardinals.

It is a very interesting fact that the large cardinal structure of the universe above either a supercompact or strong cardinal κ with suitable indestructibility properties can affect the large cardinal structure below κ . On the other hand, these effects can be mitigated if the universe contains relatively few large cardinals. These sorts of occurrences have been studied in [6, 1, 2, 3, 4].

The purpose of this paper is to continue investigating this phenomenon, but in the context of models of ZFC in which $V = \text{HOD}$ can be either true or false and GA can be either true or false. Specifically, we prove four theorems, taking as our notation throughout that φ_1 stands for the statement $V = \text{HOD}$, φ_2 stands for the Ground Axiom, T_i for $i = 1, \dots, 4$ are the theories "ZFC + φ_1 + φ_2 ", "ZFC + $\neg\varphi_1$ + φ_2 ", "ZFC + φ_1 + $\neg\varphi_2$ ", and "ZFC + $\neg\varphi_1$ + $\neg\varphi_2$ " respectively, and for $i = 1, \dots, 4$, $A_i =_{\text{df}} \{\delta < \kappa \mid \delta \text{ is an inaccessible cardinal which is not a limit of inaccessible cardinals and } V_\delta \models T_i\}$. We begin with the following theorem.

Theorem 1 *Suppose $\lambda > \kappa$ is inaccessible and κ is indestructibly supercompact. Then for each $i = 1, \dots, 4$, A_i is unbounded in κ .*

Proof: Let V be our ground model. Suppose δ is any cardinal and ρ is the least inaccessible cardinal greater than δ . We describe four δ -directed closed partial orderings \mathbb{P}_i^* for $i = 1, \dots, 4$ such that $V^{\mathbb{P}_i^*} \models "V_\rho \models T_i"$. These partial orderings are as follows:

1. \mathbb{P}_1^* is the partial ordering of [16, Theorem 11] (see also [15, 10]) as defined in V_ρ using a coding based on regular cardinals in the open interval (δ, ρ) such that $V_\rho^{\mathbb{P}_1^*} \models T_1$. For the exact definition of \mathbb{P}_1^* , we refer readers to [16]. We do note, however, that the work of [15, 16] shows that this coding may be done in a way such that \mathbb{P}_1^* is δ -directed closed and forcing with \mathbb{P}_1^* preserves the inaccessibility of ρ (which of course means that forcing with \mathbb{P}_1^* preserves the fact that ρ is the least inaccessible cardinal greater than δ). The work of [15, 16] additionally shows that this coding may be done so that $V_\rho^{\mathbb{P}_1^*}$ is a model for the *Continuum Coding Axiom (CCA)* of [15, 16, 10], which says that for every ordinal α and every $x \subseteq \alpha$, there is some ordinal θ such that $\beta \in x$ iff for every $\beta < \alpha$, $2^{\aleph_{\theta+\beta+1}} = \aleph_{\theta+\beta+2}$.¹
2. \mathbb{P}_2^* is the partial ordering of [10, Theorem 2] as defined in V_ρ using a coding based on regular cardinals in the open interval (δ, ρ) such that $V_\rho^{\mathbb{P}_2^*} \models T_2$. For the exact definition of \mathbb{P}_2^* , we refer readers to [10]. The work of [10] in conjunction with the work of [15, 16] once again show that this may be done in a way such that \mathbb{P}_2^* is δ -directed closed and forcing with \mathbb{P}_2^* preserves the inaccessibility of ρ .
3. \mathbb{P}_3^* is the partial ordering of [16, Theorem 18] (see also [15]) as defined in V_ρ using a coding based on regular cardinals in the open interval (δ, ρ) such that $V_\rho^{\mathbb{P}_3^*} \models T_3$. For the exact definition of \mathbb{P}_3^* , we once more refer readers to [16]. The work of [16] again shows that this may be done in a way such that \mathbb{P}_3^* is δ -directed closed and forcing with \mathbb{P}_3^* preserves the inaccessibility of ρ .

¹As pointed out by the referee, and as mentioned in [15, 16], the CCA implies a strengthening of itself in which there are unboundedly many θ which can be used to code the set of ordinals x . It is this stronger version that is used to infer GA in [15, 16]. Also, CCA clearly implies $V = \text{HOD}$.

4. Fix an arbitrary regular cardinal $\gamma \in (\delta, \rho)$. \mathbb{P}_4^* is then the partial ordering adding a Cohen subset of γ . Clearly, \mathbb{P}_4^* is δ -directed closed. By the definition of GA, $V_\rho^{\mathbb{P}_4^*} \models \neg\text{GA}$, and since \mathbb{P}_4^* is *almost homogeneous* (i.e., for any $p, q \in \mathbb{P}_4^*$, there is an automorphism π of \mathbb{P}_4^* such that $\pi(p)$ is compatible with q), as in [10, Theorem 1] (see also [13, pages 244–245]), $V_\rho^{\mathbb{P}_4^*} \models V \neq \text{HOD}$. Thus, since forcing with \mathbb{P}_4^* preserves ρ 's inaccessibility, $V_\rho^{\mathbb{P}_4^*} \models T_4$.

Having completed our description of the \mathbb{P}_i^* , we now follow the proof of [1, Theorem 2]. Suppose $\lambda > \kappa$ is inaccessible and κ is indestructibly supercompact. Without loss of generality, assume that λ is the least inaccessible cardinal above κ . Let i for $i = 1, \dots, 4$ be fixed but arbitrary. Force with one of the partial orderings \mathbb{P}_i^* as defined over the open interval (κ, λ) . After this forcing, which is κ -directed closed, λ remains the least inaccessible cardinal above κ . In particular, after the forcing, λ is an inaccessible cardinal which is not a limit of inaccessible cardinals. Further, by the definition of \mathbb{P}_i^* , $V^{\mathbb{P}_i^*} \models "V_\lambda \models T_i"$. Since κ is suitably indestructible, by reflection, $A_i =_{\text{df}} \{\delta < \kappa \mid \delta \text{ is an inaccessible cardinal which is not a limit of inaccessible cardinals and } V_\delta \models T_i\}$ is unbounded in κ after the forcing has been performed. Once more, we infer by the fact \mathbb{P}_i^* is κ -directed closed that A_i is unbounded in κ in the ground model. □

That the assumption of an inaccessible cardinal λ above the supercompact cardinal κ is necessary is shown by our next theorem.

Theorem 2 *Suppose $V \models "ZFC + \kappa \text{ is supercompact} + \text{No cardinal } \delta > \kappa \text{ is inaccessible}"$. Then for each $i = 1, \dots, 4$, there is a partial ordering $\mathbb{P} \in V$ such that $V^{\mathbb{P}} \models "ZFC + \kappa \text{ is indestructibly supercompact} + \text{No cardinal } \delta > \kappa \text{ is inaccessible} + A_i = \emptyset"$.*

Proof: Suppose $V \models "ZFC + \kappa \text{ is supercompact} + \text{No cardinal } \delta > \kappa \text{ is inaccessible}"$. Without loss of generality, by first doing a preliminary forcing if necessary, we assume in addition that $V \models \text{GCH}$.

Assume i for $i = 1, \dots, 4$ is given but arbitrary. Fix $k \neq i$, $k = 1, \dots, 4$. Let $\langle \delta_j \mid j < \kappa \rangle$ be the continuous, increasing enumeration of $\{\omega\} \cup \{\delta < \kappa \mid \delta \text{ is either an inaccessible cardinal or a}$

limit of inaccessible cardinals}. Let f be a Laver function [14] for κ , i.e., $f : \kappa \rightarrow V_\kappa$ is such that for every $x \in V$ and every $\lambda \geq |\text{TC}(x)|$, there is an elementary embedding $j : V \rightarrow M$ generated by a supercompact ultrafilter over $P_\kappa(\lambda)$ such that $j(f)(\kappa) = x$. We define now a length κ reverse Easton iteration $\mathbb{P} = \langle \langle \mathbb{P}_\alpha, \dot{\mathbb{Q}}_\alpha \rangle \mid \alpha < \kappa \rangle$ by four cases as follows, taking as an inductive hypothesis that $\Vdash_{\mathbb{P}_\alpha}$ “ $\delta_{\alpha+1}$ is inaccessible” (so $\Vdash_{\mathbb{P}_\alpha}$ “ $\delta_{\alpha+1}$ is the least inaccessible cardinal greater than δ_α ”):

1. $\mathbb{P}_0 = \{\emptyset\}$.
2. If δ_α is not an inaccessible limit of inaccessible cardinals, then $\mathbb{P}_{\alpha+1} = \mathbb{P}_\alpha * \dot{\mathbb{Q}}_\alpha$, where $\dot{\mathbb{Q}}_\alpha$ is a term for the partial ordering \mathbb{P}_k^* of Theorem 1 defined using ordinals in the open interval $(\delta_\alpha, \delta_{\alpha+1})$, so that $\Vdash_{\mathbb{P}_\alpha}$ “ $\dot{\mathbb{Q}}_\alpha$ is (at least) δ_α -directed closed”.
3. If δ_α is an inaccessible limit of inaccessible cardinals and $f(\delta_\alpha) = \langle \dot{\mathbb{Q}}, \delta \rangle$ where $\delta \in (\delta_\alpha, \delta_{\alpha+1})$ and $\Vdash_{\mathbb{P}_\alpha}$ “ $\dot{\mathbb{Q}}$ is δ_α -directed closed and has cardinality less than $\delta_{\alpha+1}$ ”, let γ' be the least (singular) strong limit cardinal greater than $\max(|\text{TC}(\dot{\mathbb{Q}})|, \delta)$. Then $\mathbb{P}_{\alpha+1} = \mathbb{P}_\alpha * \dot{\mathbb{Q}} * \dot{\mathbb{Q}}' = \mathbb{P}_\alpha * \dot{\mathbb{Q}}_\alpha$, where $\dot{\mathbb{Q}}'$ is a term for the partial ordering \mathbb{P}_k^* of Theorem 1 defined using ordinals in the open interval $(\gamma', \delta_{\alpha+1})$, so that $\Vdash_{\mathbb{P}_\alpha * \dot{\mathbb{Q}}}$ “ $\dot{\mathbb{Q}}'$ is (at least) γ' -directed closed”.
4. If δ_α is an inaccessible limit of inaccessible cardinals and Case 3 does not hold, then $\mathbb{P}_{\alpha+1} = \mathbb{P}_\alpha * \dot{\mathbb{Q}}_\alpha$, where $\dot{\mathbb{Q}}_\alpha$ is a term for the partial ordering \mathbb{P}_k^* of Theorem 1 defined using ordinals in the open interval $(\delta_\alpha, \delta_{\alpha+1})$, so that $\Vdash_{\mathbb{P}_\alpha}$ “ $\dot{\mathbb{Q}}_\alpha$ is (at least) δ_α -directed closed”.

An easy induction shows that for any $\alpha < \kappa$, $|\mathbb{P}_\alpha| < \delta_{\alpha+1}$. From this, it follows that the inductive hypothesis holds and \mathbb{P} is well-defined, i.e., that $V^{\mathbb{P}_\alpha * \dot{\mathbb{Q}}_\alpha} = V^{\mathbb{P}_{\alpha+1}} \models$ “ $\delta_{\alpha+1}$ is inaccessible and $V_{\delta_{\alpha+1}} \models T_k$ ”.

Lemma 1.1 $V^{\mathbb{P}} \models$ “ κ is indestructibly supercompact”.

Proof: We follow the proof of [1, Lemma 2.1]. Let $\mathbb{Q} \in V^{\mathbb{P}}$ be such that $V^{\mathbb{P}} \models$ “ \mathbb{Q} is κ -directed closed”. Take $\dot{\mathbb{Q}}$ as a term for \mathbb{Q} such that $\Vdash_{\mathbb{P}}$ “ $\dot{\mathbb{Q}}$ is κ -directed closed”. Suppose $\lambda \geq |\text{TC}(\dot{\mathbb{Q}})|$ is an arbitrary cardinal, and let $\gamma = 2^{|\lambda|^{<\kappa}}$. Take $j : V \rightarrow M$ as an elementary embedding

witnessing the γ supercompactness of κ generated by a supercompact ultrafilter over $P_\kappa(\gamma)$ such that $j(f)(\kappa) = \langle \dot{\mathbb{Q}}, \gamma \rangle$. Since $V \models$ “No cardinal $\delta > \kappa$ is inaccessible” and $M^\gamma \subseteq M$, the definition of \mathbb{P} implies that $j(\mathbb{P} * \dot{\mathbb{Q}}) = \mathbb{P} * \dot{\mathbb{Q}} * \dot{\mathbb{R}} * j(\dot{\mathbb{Q}})$, where the first stage at which $\dot{\mathbb{R}}$ is forced to do nontrivial forcing is well above γ . Laver’s original argument from [14] now applies and shows that $V^{\mathbb{P} * \dot{\mathbb{Q}}} \models$ “ κ is λ supercompact”. (Simply let $G_0 * G_1 * G_2$ be V -generic over $\mathbb{P} * \dot{\mathbb{Q}} * \dot{\mathbb{R}}$, lift j in $V[G_0][G_1][G_2]$ to $j : V[G_0] \rightarrow M[G_0][G_1][G_2]$, take a master condition p for $j''G_1$ and a $V[G_0][G_1][G_2]$ -generic object G_3 over $j(\dot{\mathbb{Q}})$ containing p , lift j again in $V[G_0][G_1][G_2][G_3]$ to $j : V[G_0][G_1] \rightarrow M[G_0][G_1][G_2][G_3]$, and show by the γ^+ -directed closure of $\mathbb{R} * j(\dot{\mathbb{Q}})$ that the supercompactness measure over $(P_\kappa(\lambda))^{V[G_0][G_1]}$ generated by j is actually a member of $V[G_0][G_1]$.) As λ and \mathbb{Q} were arbitrary, this completes the proof of Lemma 1.1. □

Lemma 1.2 *In $V^{\mathbb{P}}$, $A_k = \{\delta < \kappa \mid \delta \text{ is an inaccessible cardinal which is not a limit of inaccessible cardinals}\}$.*

Proof: For any $\delta < \kappa$ such that $V \models$ “ δ is an inaccessible cardinal which is not a limit of inaccessible cardinals”, let $\alpha < \kappa$ be such that $\delta = \delta_{\alpha+1}$. Write $\mathbb{P} = \mathbb{P}_\alpha * \dot{\mathbb{Q}}_\alpha * \dot{\mathbb{R}} = \mathbb{P}_{\alpha+1} * \dot{\mathbb{R}}$. As we have already observed, $V^{\mathbb{P}_{\alpha+1}} \models$ “ $\delta_{\alpha+1}$ is inaccessible and $V_{\delta_{\alpha+1}} \models T_k$ ”. Since $\Vdash_{\mathbb{P}_{\alpha+1}}$ “ $\dot{\mathbb{R}}$ is $\delta_{\alpha+1}$ -directed closed”, $V^{\mathbb{P}_{\alpha+1} * \dot{\mathbb{R}}} = V^{\mathbb{P}} \models$ “ $\delta_{\alpha+1}$ is inaccessible and $V_{\delta_{\alpha+1}} \models T_k$ ”. In addition, because $V \models$ “ $\delta_{\alpha+1}$ is an inaccessible cardinal which is not a limit of inaccessible cardinals”, $V^{\mathbb{P}} \models$ “ $\delta_{\alpha+1}$ is an inaccessible cardinal which is not a limit of inaccessible cardinals” as well. Consequently, the proof of Lemma 1.2 will be complete once we have shown that if $V^{\mathbb{P}} \models$ “ δ is an inaccessible cardinal which is not a limit of inaccessible cardinals”, then $V \models$ “ δ is an inaccessible cardinal which is not a limit of inaccessible cardinals”. If not, $V \models$ “ δ is an inaccessible limit of inaccessible cardinals”, so $V \models$ “ δ is an inaccessible limit of inaccessible cardinals which are not themselves limits of inaccessible cardinals”. As we have just shown, such cardinals are preserved to $V^{\mathbb{P}}$, so $V^{\mathbb{P}} \models$ “ δ is an inaccessible limit of inaccessible cardinals”. This contradiction completes the proof of Lemma 1.2. □

Since by Lemma 1.2, $A_i = \emptyset$, and since forcing cannot create a new inaccessible cardinal, Lemmas 1.1 and 1.2 complete the proof of Theorem 2.

□

We observe that in the proof we have just given for Theorem 2, $A_j = \emptyset$ for $j \neq k$. Our method of proof allows for other possible values for the A_j , which we leave for readers to work out for themselves. Further, our method of proof shows that if $k = 1$, then $A_1 = \{\delta < \kappa \mid \delta \text{ is an inaccessible cardinal which is not a limit of inaccessible cardinals and } V_\delta \models \text{CCA}\}$.

Note that by definition, the A_i are mutually disjoint, and regardless if κ is also indestructible, $\bigcup_{i=1,\dots,4} A_i = \{\delta < \kappa \mid \delta \text{ is an inaccessible cardinal which is not a limit of inaccessible cardinals}\}$. Observe also that in spite of Theorem 2, by the last sentence, at least one of the A_i must be unbounded in κ if κ is supercompact.

One may wonder if Theorem 1 can be improved. To make this more precise, one may ask if it is possible to infer anything along the lines of Theorem 1 without the additional assumption of an inaccessible cardinal above the supercompact cardinal κ . By Theorem 2, one would then of necessity have to work with inaccessible limits of inaccessible cardinals. In fact, this question has a positive answer, as the following theorem shows.

Theorem 3 *Suppose κ is indestructibly supercompact. Then $V_\kappa \models T_1$, so by reflection, $B_1 =_{\text{df}} \{\delta < \kappa \mid \delta \text{ is an inaccessible limit of inaccessible cardinals and } V_\delta \models T_1\}$ is unbounded in κ .*

Proof: As has already been mentioned, $\text{ZFC} \vdash \text{“CCA} \implies \text{GA} + V = \text{HOD} \text{”}$. Thus, if κ is indestructibly supercompact, to prove Theorem 3, it suffices to show that $V_\kappa \models \text{CCA}$.

To do this, fix $\alpha < \kappa$ and $x \subseteq \alpha$. Let \mathbb{P} be the κ -directed closed partial ordering which first forces GCH for all cardinals δ in the closed interval $[\kappa, \kappa^{+\alpha+\alpha}]$ and then forces failures of GCH in this interval so that $\beta \in x$ iff for every $\beta < \alpha$, $2^{\aleph_{\kappa+\beta+1}} = \aleph_{\kappa+\beta+2}$. By indestructibility, let $\lambda > \kappa$ be sufficiently large with $j : V^{\mathbb{P}} \rightarrow M$ an elementary embedding witnessing the λ supercompactness of κ generated by a supercompact ultrafilter $\mathcal{U} \in V^{\mathbb{P}}$ over $P_\kappa(\lambda)$ such that $M \models \text{“}\beta \in x \text{ iff for every } \beta < \alpha, 2^{\aleph_{\kappa+\beta+1}} = \aleph_{\kappa+\beta+2}\text{”}$. Since $\alpha < \kappa$ and κ is the critical point of j , by reflection, there

are unboundedly many $\theta \in (\alpha, \kappa)$ such that in both $V^{\mathbb{P}}$ and $(V_\kappa)^{V^{\mathbb{P}}}$, $\beta \in x$ iff for every $\beta < \alpha$, $2^{\aleph_{\theta+\beta+1}} = \aleph_{\theta+\beta+2}$. Since \mathbb{P} is κ -directed closed, this last fact must be true in V and V_κ as well. Thus, $V_\kappa \models \text{CCA}$. This completes the proof of Theorem 3. □

In light of Theorem 3, one may also wonder if Theorem 2 can be improved. To be more explicit, one may ask if it is possible, assuming κ is supercompact and no cardinal $\delta > \kappa$ is inaccessible, to obtain a model of ZFC in which κ is indestructibly supercompact and for *every* inaccessible cardinal $\delta < \kappa$, $V_\delta \models T_1$. Once again, this question has a positive answer, as the following theorem shows.

Theorem 4 *Suppose $V \models \text{“ZFC} + \kappa \text{ is supercompact} + \text{No cardinal } \delta > \kappa \text{ is inaccessible”}$. Then there is a partial ordering $\mathbb{P} \in V$ such that $V^{\mathbb{P}} \models \text{“ZFC} + \kappa \text{ is indestructibly supercompact} + \text{No cardinal } \delta > \kappa \text{ is inaccessible} + \text{For every inaccessible cardinal } \delta < \kappa, V_\delta \models T_1\text{”}$.*

Proof: Suppose $V \models \text{“ZFC} + \kappa \text{ is supercompact} + \text{No cardinal } \delta > \kappa \text{ is inaccessible”}$. As in the proof of Theorem 2, we assume in addition that $V \models \text{GCH}$. We then let \mathbb{P} be the partial ordering used in the proof of Theorem 2 as defined when $k = 1$. From this, the proof of Theorem 2 and the succeeding remarks allow us to infer immediately that $V^{\mathbb{P}} \models \text{“ZFC} + \kappa \text{ is indestructibly supercompact} + \text{No cardinal } \delta > \kappa \text{ is inaccessible} + A_1 = \{\delta < \kappa \mid \delta \text{ is an inaccessible cardinal which is not a limit of inaccessible cardinals}\} = \{\delta < \kappa \mid \delta \text{ is an inaccessible cardinal which is not a limit of inaccessible cardinals and } V_\delta \models \text{CCA}\text{”}$. Therefore, as in the proof of Theorem 3, to prove Theorem 4, it suffices to show that if $V^{\mathbb{P}} \models \text{“}\delta \text{ is an inaccessible cardinal which is a limit of inaccessible cardinals”}$, then $(V_\delta)^{V^{\mathbb{P}}} \models \text{CCA}$.

To see this, work for the rest of the proof in $V^{\mathbb{P}}$. Let $\alpha < \delta$ with $x \subseteq \alpha$, and let ρ be the least inaccessible cardinal greater than α . Clearly, $\rho < \delta$, $\rho \in A_1$, and $x \in V_\rho$. Since $V_\rho \models \text{CCA}$, there is some $\theta \in (\alpha, \rho)$ such that $V_\rho \models \text{“}\beta \in x \text{ iff for every } \beta < \alpha, 2^{\aleph_{\theta+\beta+1}} = \aleph_{\theta+\beta+2}\text{”}$. But then, $\theta \in (\alpha, \delta)$ and $V_\delta \models \text{“}\beta \in x \text{ iff for every } \beta < \alpha, 2^{\aleph_{\theta+\beta+1}} = \aleph_{\theta+\beta+2}\text{”}$. Thus, $V_\delta \models \text{CCA}$. This completes the proof of Theorem 4. □

Observe that by Theorem 4, it is impossible to improve Theorem 3. In other words, in ZFC alone, only B_1 need be unbounded in κ if κ is indestructibly supercompact, and not $B_i =_{\text{df}} \{\delta < \kappa \mid \delta \text{ is an inaccessible limit of inaccessible cardinals and } V_\delta \models T_i\}$ for $i = 2, \dots, 4$.

In conclusion to this paper, we note that results analogous to Theorems 1 – 4 hold if κ is either an indestructible strong cardinal in Gitik and Shelah’s sense of [9] or an indestructible strongly unfoldable cardinal in Johnstone’s sense of [11, 12]. (See [11, 12] for the definition of strongly unfoldable cardinal.) We leave it to readers to work out the details for themselves.

References

- [1] A. Apter, “Indestructibility and Level by Level Equivalence and Inequivalence”, *Mathematical Logic Quarterly* 53, 2007, 78–85.
- [2] A. Apter, “Indestructibility and Measurable Cardinals with Few and Many Measures”, *Archive for Mathematical Logic* 47, 2008, 101–110.
- [3] A. Apter, “Indestructibility and Stationary Reflection”, *Mathematical Logic Quarterly* 55, 2009, 228–236.
- [4] A. Apter, “Indestructibility, Instances of Strong Compactness, and Level by Level Inequivalence”, to appear in the *Archive for Mathematical Logic*.
- [5] A. Apter, Sh. Friedman, “Coding into HOD via Normal Measures with Some Applications”, submitted for publication to the *Mathematical Logic Quarterly*.
- [6] A. Apter, J. D. Hamkins, “Indestructibility and the Level-by-Level Agreement between Strong Compactness and Supercompactness”, *Journal of Symbolic Logic* 67, 2002, 820–840.
- [7] P. Corazza, “The Wholeness Axiom and Laver Sequences”, *Annals of Pure and Applied Logic* 105, 2000, 157–260.
- [8] Sh. Friedman, *Aspects of Supercompactness, HOD and Set Theoretic Geology*, Doctoral Dissertation, the CUNY Graduate Center, 2009.

- [9] M. Gitik, S. Shelah, “On Certain Indestructibility of Strong Cardinals and a Question of Hajnal”, *Archive for Mathematical Logic* 28, 1989, 35–42.
- [10] J. D. Hamkins, J. Reitz, W. H. Woodin, “The Ground Axiom is Consistent with $V \neq \text{HOD}$ ”, *Proceedings of the American Mathematical Society* 136, 2008, 2943–2949.
- [11] T. Johnstone, *Strongly Unfoldable Cardinals Made Indestructible*, Doctoral Dissertation, the CUNY Graduate Center, 2007.
- [12] T. Johnstone, “Strongly Unfoldable Cardinals Made Indestructible”, *Journal of Symbolic Logic* 73, 2008, 1215–1248.
- [13] K. Kunen, *Set Theory. An Introduction to Independence Proofs*, **Studies in Logic and the Foundations of Mathematics 102**, North-Holland Publishing Company, Amsterdam and New York, 1980.
- [14] R. Laver, “Making the Supercompactness of κ Indestructible under κ -Directed Closed Forcing”, *Israel Journal of Mathematics* 29, 1978, 385–388.
- [15] J. Reitz, *The Ground Axiom*, Doctoral Dissertation, the CUNY Graduate Center, 2006.
- [16] J. Reitz, “The Ground Axiom”, *Journal of Symbolic Logic* 72, 2007, 1299–1317.